

APPROVAL REQUEST RETURN FOR DEFINED CONTRIBUTION PENSION PLAN TERMINATIONS

This return should be used to file an application seeking the Superintendent's authorization of the termination report for a defined contribution pension plan. For additional information, please refer to the Instruction Guide for Termination of a Defined Contribution Pension Plan which can be found on the Application and Approvals page in the Private Pension Plans section on the OSFI website.

1. Approval Type:

2. Termination date (YYYY-MM-DD):

Note: Do not use the characters "#" or "&" in the name of any files being uploaded in the questions below.

3. a) Standardized Termination Report for Defined Contribution Pension Plans

"Please upload your file using the Browse button below"

File Upload:	<input type="button" value="Browse"/>
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b) Individual member information

"Please upload your file using the Browse button below"

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c) Samples of the Initial Notification of Plan Termination (Form 2.1 of Schedule IV of the PBSR)

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d) Samples of the Detailed Termination Statements (Form 2.2 of Schedule IV of the PBSR)

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4. Plus, one or more of the following:

a) Executed plan amendment

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AND

b) Pension Plan Amendment Information Form (OSFI 593)

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AND/OR

c) Board Resolution

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OR

d) Letter indicating the plan has terminated

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5. And, if applicable:

a) Plan text

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b) Other document and/or comments

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SAMPLE